

Importance sampling transformation of the imaginary-time Schrödinger equation

For a wave function $\Psi(\mathbf{R}, \tau)$ the imaginary-time Schrödinger equation, with some constant energy offset E_T , is

$$\left[-\frac{1}{2}\nabla_{\mathbf{R}}^2 + V(\mathbf{R}) - E_T \right] \Psi(\mathbf{R}, \tau) = -\frac{\partial}{\partial \tau} \Psi(\mathbf{R}, \tau). \quad (1)$$

Then, using the mixed distribution

$$f(\mathbf{R}, \tau) = \Psi(\mathbf{R}, \tau)\Psi_T(\mathbf{R}), \quad (2)$$

for some trial wave function $\Psi_T(\mathbf{R})$, we can transform Eq. (1) into its importance-sampled version by substituting

$$\Psi(\mathbf{R}, \tau) = \frac{f(\mathbf{R}, \tau)}{\Psi_T(\mathbf{R})}, \quad (3)$$

to obtain

$$\left[-\frac{1}{2}\nabla_{\mathbf{R}}^2 \frac{f(\mathbf{R}, \tau)}{\Psi_T(\mathbf{R})} + V(\mathbf{R}) \frac{f(\mathbf{R}, \tau)}{\Psi_T(\mathbf{R})} - E_T \frac{f(\mathbf{R}, \tau)}{\Psi_T(\mathbf{R})} \right] = -\frac{\partial}{\partial \tau} \frac{f(\mathbf{R}, \tau)}{\Psi_T(\mathbf{R})}. \quad (4)$$

The trial wave function $\Psi_T(\mathbf{R})$ is independent of τ , so we can pull this out of the time derivative on the right

$$\left[-\frac{1}{2}\nabla_{\mathbf{R}}^2 \frac{f(\mathbf{R}, \tau)}{\Psi_T(\mathbf{R})} + V(\mathbf{R}) \frac{f(\mathbf{R}, \tau)}{\Psi_T(\mathbf{R})} - E_T \frac{f(\mathbf{R}, \tau)}{\Psi_T(\mathbf{R})} \right] = -\frac{1}{\Psi_T(\mathbf{R})} \frac{\partial}{\partial \tau} f(\mathbf{R}, \tau), \quad (5)$$

and multiply both by sides by $\Psi_T(\mathbf{R})$ to give

$$\left[-\frac{1}{2}\Psi_T(\mathbf{R})\nabla_{\mathbf{R}}^2 \frac{f(\mathbf{R}, \tau)}{\Psi_T(\mathbf{R})} + V(\mathbf{R})f(\mathbf{R}, \tau) - E_T f(\mathbf{R}, \tau) \right] = -\frac{\partial}{\partial \tau} f(\mathbf{R}, \tau). \quad (6)$$

Now, focussing on the Laplacian and using the product rule we find

$$\begin{aligned} \nabla_{\mathbf{R}}^2 \frac{f(\mathbf{R}, \tau)}{\Psi_T(\mathbf{R})} &= \nabla_{\mathbf{R}} \cdot \nabla_{\mathbf{R}} \frac{f(\mathbf{R}, \tau)}{\Psi_T(\mathbf{R})} \\ &= \nabla_{\mathbf{R}} \left[\frac{1}{\Psi_T(\mathbf{R})} \nabla_{\mathbf{R}} f(\mathbf{R}, \tau) - \frac{1}{\Psi_T^2(\mathbf{R})} f(\mathbf{R}, \tau) \nabla_{\mathbf{R}} \Psi_T(\mathbf{R}) \right] \\ &= \frac{1}{\Psi_T(\mathbf{R})} \nabla_{\mathbf{R}}^2 f(\mathbf{R}, \tau) - \frac{1}{\Psi_T^2(\mathbf{R})} \nabla_{\mathbf{R}} f(\mathbf{R}, \tau) \cdot \nabla_{\mathbf{R}} \Psi_T(\mathbf{R}) \\ &\quad + \frac{2}{\Psi_T^3(\mathbf{R})} f(\mathbf{R}, \tau) \left(\nabla_{\mathbf{R}} \Psi_T(\mathbf{R}) \right)^2 - \frac{1}{\Psi_T^2(\mathbf{R})} \nabla_{\mathbf{R}} f(\mathbf{R}, \tau) \cdot \nabla_{\mathbf{R}} \Psi_T(\mathbf{R}) \\ &\quad - \frac{1}{\Psi_T^2(\mathbf{R})} f(\mathbf{R}, \tau) \nabla_{\mathbf{R}}^2 \Psi_T(\mathbf{R}). \end{aligned} \quad (7)$$

Collecting like terms and multiplying by $-\frac{1}{2}\Psi_T(\mathbf{R})$ we obtain

$$\begin{aligned} & -\frac{1}{2}\Psi_T(\mathbf{R})\nabla_{\mathbf{R}}^2\frac{f(\mathbf{R},\tau)}{\Psi_T(\mathbf{R})} = -\frac{1}{2}\nabla_{\mathbf{R}}^2f(\mathbf{R},\tau) + \frac{1}{\Psi_T(\mathbf{R})}\nabla_{\mathbf{R}}f(\mathbf{R},\tau)\cdot\nabla_{\mathbf{R}}\Psi_T(\mathbf{R}) \\ & -\frac{1}{\Psi_T^2(\mathbf{R})}f(\mathbf{R},\tau)\left(\nabla_{\mathbf{R}}\Psi_T(\mathbf{R})\right)^2 + \frac{1}{2\Psi_T(\mathbf{R})}f(\mathbf{R},\tau)\nabla_{\mathbf{R}}^2\Psi_T(\mathbf{R}). \end{aligned} \quad (8)$$

Substituting Eq. (8) into Eq. (6) we now have

$$\begin{aligned} & -\frac{1}{2}\nabla_{\mathbf{R}}^2f(\mathbf{R},\tau) + \frac{1}{\Psi_T(\mathbf{R})}\nabla_{\mathbf{R}}f(\mathbf{R},\tau)\cdot\nabla_{\mathbf{R}}\Psi_T(\mathbf{R}) - \frac{1}{\Psi_T^2(\mathbf{R})}f(\mathbf{R},\tau)\left(\nabla_{\mathbf{R}}\Psi_T(\mathbf{R})\right)^2 \\ & + \underbrace{\frac{1}{2\Psi_T(\mathbf{R})}f(\mathbf{R},\tau)\nabla_{\mathbf{R}}^2\Psi_T(\mathbf{R}) + V(\mathbf{R})f(\mathbf{R},\tau) - E_Tf(\mathbf{R},\tau)}_K = -\frac{\partial}{\partial\tau}f(\mathbf{R},\tau). \end{aligned} \quad (9)$$

Then, adding $\frac{1}{2}K - \frac{1}{2}K$ gives

$$\begin{aligned} & -\frac{1}{2}\nabla_{\mathbf{R}}^2f(\mathbf{R},\tau) + \frac{1}{\Psi_T(\mathbf{R})}\nabla_{\mathbf{R}}f(\mathbf{R},\tau)\cdot\nabla_{\mathbf{R}}\Psi_T(\mathbf{R}) \\ & + \left[-\frac{1}{\Psi_T^2(\mathbf{R})}f(\mathbf{R},\tau)\left(\nabla_{\mathbf{R}}\Psi_T(\mathbf{R})\right)^2 + \frac{1}{\Psi_T(\mathbf{R})}f(\mathbf{R},\tau)\nabla_{\mathbf{R}}^2\Psi_T(\mathbf{R}) \right] \\ & - \frac{1}{2\Psi_T(\mathbf{R})}f(\mathbf{R},\tau)\nabla_{\mathbf{R}}^2\Psi_T(\mathbf{R}) + V(\mathbf{R})f(\mathbf{R},\tau) - E_Tf(\mathbf{R},\tau) = -\frac{\partial}{\partial\tau}f(\mathbf{R},\tau), \end{aligned} \quad (10)$$

and pulling $f(\mathbf{R},\tau)$ out as a common factor (and swapping the order of the terms in square brackets), we arrive at

$$\begin{aligned} & -\frac{1}{2}\nabla_{\mathbf{R}}^2f(\mathbf{R},\tau) + \frac{1}{\Psi_T(\mathbf{R})}\nabla_{\mathbf{R}}f(\mathbf{R},\tau)\cdot\nabla_{\mathbf{R}}\Psi_T(\mathbf{R}) \\ & + f(\mathbf{R},\tau)\left[\frac{1}{\Psi_T(\mathbf{R})}\nabla_{\mathbf{R}}^2\Psi_T(\mathbf{R}) - \frac{1}{\Psi_T^2(\mathbf{R})}\left(\nabla_{\mathbf{R}}\Psi_T(\mathbf{R})\right)^2 \right] \\ & + \left(-\frac{1}{2\Psi_T(\mathbf{R})}\nabla_{\mathbf{R}}^2\Psi_T(\mathbf{R}) + V(\mathbf{R}) - E_T \right) f(\mathbf{R},\tau) = -\frac{\partial}{\partial\tau}f(\mathbf{R},\tau). \end{aligned} \quad (11)$$

The terms in square brackets are now just a product rule expansion of $\nabla_{\mathbf{R}}(\Psi_T^{-1}(\mathbf{R})\nabla_{\mathbf{R}}\Psi_T(\mathbf{R}))$ and we multiply the potential term $V(\mathbf{R})$ by $\Psi_T(\mathbf{R})/\Psi_T(\mathbf{R})$ to find

$$\begin{aligned} & -\frac{1}{2}\nabla_{\mathbf{R}}^2f(\mathbf{R},\tau) + \frac{1}{\Psi_T(\mathbf{R})}\nabla_{\mathbf{R}}f(\mathbf{R},\tau)\cdot\nabla_{\mathbf{R}}\Psi_T(\mathbf{R}) + f(\mathbf{R},\tau)\nabla_{\mathbf{R}}\left(\frac{\nabla_{\mathbf{R}}\Psi_T(\mathbf{R})}{\Psi_T(\mathbf{R})}\right) \\ & + \left(-\frac{1}{2\Psi_T(\mathbf{R})}\nabla_{\mathbf{R}}^2\Psi_T(\mathbf{R}) + V(\mathbf{R})\frac{\Psi_T(\mathbf{R})}{\Psi_T(\mathbf{R})} - E_T \right) f(\mathbf{R},\tau) = -\frac{\partial}{\partial\tau}f(\mathbf{R},\tau). \end{aligned} \quad (12)$$

Now, the second and third terms on the first line are just another product rule expansion, and we can pull a factor of $\Psi_T^{-1}(\mathbf{R})$ from the first two terms on the second line to give

$$\begin{aligned} & -\frac{1}{2}\nabla_{\mathbf{R}}^2f(\mathbf{R},\tau) + \nabla_{\mathbf{R}}\cdot\left[\frac{\nabla_{\mathbf{R}}\Psi_T(\mathbf{R})}{\Psi_T(\mathbf{R})}f(\mathbf{R},\tau)\right] \\ & + \left(\frac{1}{\Psi_T(\mathbf{R})}\left[\underbrace{-\frac{1}{2}\nabla_{\mathbf{R}}^2\Psi_T(\mathbf{R}) + V(\mathbf{R})\Psi_T(\mathbf{R})}_{\hat{H}\Psi_T(\mathbf{R})} - E_T\right]\right)f(\mathbf{R},\tau) = -\frac{\partial}{\partial\tau}f(\mathbf{R},\tau), \end{aligned} \quad (13)$$

and we recognise that the square brackets on the second line are now just the Hamiltonian \hat{H} acting on $\Psi_T(\mathbf{R})$, so

$$\begin{aligned}
-\frac{1}{2}\nabla_{\mathbf{R}}^2 f(\mathbf{R}, \tau) + \nabla_{\mathbf{R}} \cdot \left[\underbrace{\frac{\nabla_{\mathbf{R}}\Psi_T(\mathbf{R})}{\Psi_T(\mathbf{R})}}_{\mathbf{V}(\mathbf{R})} f(\mathbf{R}, \tau) \right] + \left(\underbrace{\frac{\hat{H}\Psi_T(\mathbf{R})}{\Psi_T(\mathbf{R})}}_{E_L(\mathbf{R})} - E_T \right) f(\mathbf{R}, \tau) \\
= -\frac{\partial}{\partial\tau} f(\mathbf{R}, \tau). \tag{14}
\end{aligned}$$

Finally, by substituting the drift velocity $\mathbf{V}(\mathbf{R}) = \Psi_T^{-1}(\mathbf{R})\nabla_{\mathbf{R}}\Psi_T(\mathbf{R})$ and the local energy $E_L(\mathbf{R}) = \Psi_T^{-1}\hat{H}\Psi_T(\mathbf{R})$, we arrive at the final result

$$-\frac{1}{2}\nabla_{\mathbf{R}}^2 f(\mathbf{R}, \tau) + \nabla_{\mathbf{R}} \cdot [\mathbf{V}(\mathbf{R})f(\mathbf{R}, \tau)] + (E_L(\mathbf{R}) - E_T)f(\mathbf{R}, \tau) = -\frac{\partial}{\partial\tau} f(\mathbf{R}, \tau), \tag{15}$$

which is the importance-sampled imaginary-time Schrödinger equation.